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28 September 2021

ENGLISH only



PERMANENT MISSION OF ROMANIA  
to the International Organizations in Vienna

No. 1855

The Permanent Mission of Romania to the International Organizations in Vienna presents its compliments to the Permanent Missions of the Participating States of the Organization for Security and Cooperation in Europe and to the Conflict Prevention Centre and, with reference to the OSCE Document on Small Arms and Light Weapons, has the honour to submit Romania's annual exchange for the calendar year 2020. This information exchange includes the Reporting Template for the Implementation of the OSCE Document on SALW and Supplementary Decisions, the Template for the Annual Information Exchange on SALW imports and exports and Information on Conventional Arms Transfers.

The Permanent Mission of Romania to the International Organizations in Vienna avails itself of this opportunity to renew to the Permanent Missions of the Participating States of the Organization for Security and Cooperation in Europe and to the Conflict Prevention Centre the assurances of its highest consideration.



Vienna, 28 September 2021

To the Permanent Missions of the Participating States of the OSCE  
Conflict Prevention Centre  
Vienna



**Organization for Security and Co-operation in Europe**

**Updated**

**OSCE Reporting Template for the Implementation of  
the OSCE Document on Small Arms and Light Weapons  
and Supplementary Decisions**

**Reporting country: ROMANIA**

**Reporting date: July 2021**

Explanation of colours in the text:

	Text aligned with the UNODA template on the implementation of the PoA on SALW and ITI
	OSCE only questions

**SECTION 1: NATIONAL COORDINATION INFRASTRUCTURE**

<i>Sources</i>	<i>Question</i>	<i>YES</i>	<i>NO</i>	<i>DE VEL OPI NG</i>
<b>National Coordination Body/Mechanism</b>				
PoA II.4	1.1. Has your country established a National Coordination Body/Mechanism or other body that includes SALW control in its core tasks? [if no, go to 1.2]	X		
	<p>a) Name of body/mechanism: Ministry of Foreign Affairs of Romania OSCE, Non-proliferation and Arms Control Directorate</p> <p>b) Address: Aleea Alexandru nr. 31, Sector 1, 011822 Bucharest</p> <p>c) Contact details:            i) Contact person: Ms Mihaela Ștefănescu, Director            ii) Telephone: +40.21.431.13.73            iii) Email: <a href="mailto:mihaela.stefanescu@mae.ro">mihaela.stefanescu@mae.ro</a> , <a href="mailto:don@mae.ro">don@mae.ro</a></p> <p>d) Composition:            i) Number of men:            ii) Number of women:</p>			
<b>National Point of Contact</b>				
PoA II.5, 24	1.2.. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN <i>Programme of Action on Small Arms</i> (PoA)? [if no, go to 1.2.3]	X		
	<p>1.2.1. Details:            a) Name: Ms Mihaela Ștefănescu, Director            b) Organization or agency: Ministry of Foreign Affairs of Romania OSCE, Non-proliferation and Arms Control Directorate            c) Address: Aleea Alexandru nr. 31, Sector 1, 011822 Bucharest            d) Telephone: +40.21.431.13.73            e) Email: <a href="mailto:mihaela.stefanescu@mae.ro">mihaela.stefanescu@mae.ro</a> , <a href="mailto:don@mae.ro">don@mae.ro</a></p>			
ITI 25	1.2.2. Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the <i>International Tracing Instrument</i> (ITI)?		X	
ITI 25	1.2.3. If the answer to Question 1.2.2. is 'no', does your country have a National Point of Contact for purposes of exchanging information and liaising on all matters relating to the ITI?	X		
	<p>If yes, provide details:            a) Name: <b>Mr. Eugen Mihuț</b>, general director            b) Organization or agency: Ministry of Foreign Affairs of Romania, Department for Export Controls (ANCEX)            c) Address: Bucharest, 8 Polonă Street, District 1, 010501            d) Telephone: +4021 311 20 83            e) Email: <a href="mailto:dancex@mae.ro">dancex@mae.ro</a></p>			
SALW Doc, Section IV, 1	1.2.4. Is the National Point of Contact identified above in either Q. 1.2 or 1.2.3 also responsible for exchanging information and liaising on matters relating to the OSCE Document on SALW and its supplementary decisions, including for projects on SALW and stockpiles of conventional ammunition?	X		
	1.2.5. If not, does your country have a focal point for purposes of exchanging information and liaising on matters relating to the OSCE Document on SALW and its supplementary decisions?			
	<p>1.2.5.1. Details            a) Name:            b) Organization or agency:            c) Address:</p>			

	d) Telephone: e) Email:		
<b>National Action Plan</b>			
RevCon3 outcome II.A.5.60	1.3. Does your country have a National Action Plan on SALW? [Attach if available/upload]		
<b>National targets</b>			
	1.4. Has your country set national targets relating to the implementation of the PoA and ITI?  [Examples of national targets]  1.4.1. If so, describe  <div style="text-align: right;">Target year</div>		

**SECTION 2: MANUFACTURE**

<i>Sources</i>	<i>Question</i>	<i>YES</i>	<i>NO</i>
<b>Laws, regulations and administrative procedures</b>			
	2.1. Are there any SALW manufactured in your country? [if no, go to 2.2]	X	
PoA II.2	2.1.1. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW? [if no, go to 2.1.2]	X	
	2.1.1.1. List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country. <ul style="list-style-type: none"> <li>• Law no. 295 / 2004 on the Regime of Arms and Ammunition</li> <li>• Law no. 196/2019 for amending and supplementing of the Law no. 295 / 2004 on the Regime of Arms and Ammunition</li> <li>• Government Decision no. 11 / 2018 on the approval of the Methodological Norms for the Implementation of the Law on the Regime of Arms and Ammunition</li> <li>• Law no. 31 / 1996 on the Regime of the State Monopoly stipulates that the manufacture of and the commerce with armament, ammunition and explosives is a state monopoly</li> <li>• Law no. 232 / 2016 on the national defence industry, as well as for amending and completing some normative acts</li> <li>• Government Decision no. 611 / 2017 on the approval of Methodological Norms for the implementation of the Law no. 232 / 2016 on the national defence industry.</li> </ul>		
	2.1.1.2. Does your country licence the manufacture of SALW?	X	
BPG, Manufacturing, IV (3) SALW Doc, Section II (A).	If yes, 2.1.1.2.1. Are licenses specific to location and non-transferrable?	X	
	2.1.1.2.2. Are the licenses limited in the period of validity?	X	
	2.1.1.2.3. Is approval of storage facilities a prerequisite for obtaining a manufacturing license?	X	
	2.1.1.2.4. Are there exceptions when licensing is not mandatory for SALW manufacturers? If so, describe		X
BPG, Manufacturing, IV (1)	2.1.1.2.5. How does your country monitor SALW manufacturers? Which authority is mandated to monitor SALW manufacturers? The General Inspectorate of the Romanian Police is the responsible body according to the Law on Arms and Ammunition to exercise the control on arms on the national territory, including the observance of the provisions on manufacturing.		
PoA II.3	2.1.1.3 Is illegal manufacture of SALW considered a criminal offence in your country?	X	
<b>Marking at manufacture</b>			
PoA II.7; ITI 8a	2.2. Does your country require that SALW be marked at the time of manufacture? [if no, go to 2.3]	X	
ITI 8a	2.2.1. What information is included in the marking (check relevant boxes)?		
	a) Name of the manufacturer	X	
	b) Country of manufacture	X	
	c) Serial number	X	
	d) Year of manufacture	X	
	e) Weapon type/model	X	

	f) Calibre	X	
	g) Proofing	X	
ITI 10	if other, please explain		
	2.2.2. What part of the SALW is marked? According to the national Law on Arms and Ammunition all arms should have a clear and visible marking for the assembly as well as markings on their individual parts.		
	2.2.3. Are there exceptions to the requirement to mark SALW at the time of manufacture? NO		X
	2.2.3.11 If so, describe		
OSCE SALW Doc, Section II (B), 1 and Firearms Protocol, Article 8	2.2.4. Is it necessary for small arms manufacturers under your authority outside your country's territory to apply markings to the same standard as in your country?		X
	2.2.5. Could you please provide an overview of unique marking of the manufacturers in your country and their choice of methods for marking SALW? [Possible to attach or upload in Section 10.3b]		
	2.2.6. Did your country adopt legislative and other measures as may be necessary to establish criminal offences of falsifying or illicitly obliterating, removing or altering the marking(s) on SALW?		
<b>Record-keeping by manufacturers</b>			
PoA II.9; ITI 11	2.3. Does your country require that manufacturers keep records of their activities? [if no, go to 2.4.4.]	X	
ITI 12a	2.3.1. What information must be recorded (check relevant boxes)? a) Quantity of SALW manufactured b) Type or model of SALW manufactured c) Markings applied to manufactured SALW d) Transactions (e.g. sales of manufactured and marked SALW) e) Other [if other, please explain]	X X X X X	
	2.3.2. How long must manufacturing records be kept? a) Indefinitely b) 30 years c) Other [if other, please explain]		X
<b>Actions taken during the reporting period</b>			
PoA II.6	2.4. During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. craft manufacturing)? [if no, go to 2.5.]		X
	2.4.1. Details (e.g. prosecution): if yes		
<b>International assistance</b>			
PoA III.6	2.5. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture? [if no, go to 3.1]		X
	2.5.1. If yes, what kind of assistance do you require?		
	2.5.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10.3b]		
	2.6 Should your country be willing and able to provide assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture?		
	2.6.1 If yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding SALW manufacturing)?		
	2.6.2 Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b).		

### SECTION 3: INTERNATIONAL TRANSFERS

Sources	Question	YES	NO
<b>Laws, regulations and administrative procedures</b>			
PoA II.2, 12	3.1. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW? [if no, go to 3.2]	X	
PoA II.11	3.1.1. List laws, regulations and/or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW. <ul style="list-style-type: none"> <li>➤ Government Ordinance no. 158/1999 on the control regime of exports, imports and other transfers of military goods, republished</li> <li>➤ Ministerial order no. 901/2019 setting up the List of military goods subject to export, import and other transfers of military goods (published in the Romanian Official Jurnal , Part I, no. 477 from 12 June 2019).</li> <li>➤ Other ministerial orders for different administrative procedures</li> </ul>		
<b>Licencing and authorization</b>			
PoA II.11	3.2. Does a person or an entity who transfers SALW require a licence or other form of authorization to transfer SALW from/into your country?	X	
PoA II.3	3.3. Is it a criminal offence to trade SALW without a licence or authorization, or to do so in a manner that is in contradiction to the terms of a licence or authorization, in your country?	X	
OSCE SALW Doc	3.3.1. What penalties does your country apply for trading SALW in violation of United Nations Security Council Resolutions? <ul style="list-style-type: none"> <li>1) Administrative sanctions</li> <li>2) Criminal penalty</li> <li>3) Other [If other, please explain]</li> </ul>	X	
OSCE SALW Doc, Section III (A)	3.3.2. What other criteria does your country take into account when considering exports of SALW and technology related to their design, production, testing and upgrading (i.e. respect for human rights and fundamental freedoms)? <p>All licence applications are assessed on case-by case basis. The control regime for export, import, brokering, re-export, transit and transshipment with military goods, including SALW are, <i>inter alia</i>, in compliance with:</p> <ul style="list-style-type: none"> <li>a) the EU Common Position 2008/944/CFSP;</li> <li>b) the obligations deriving from the implementation of arms embargoes established by the United Nations Security Council, by the European Union, by the Organisation for Security and Co-operation in Europe, or established by EU or NATO member states;</li> <li>c) the objectives of non-proliferation of weapons of mass destruction, of vectors carrying such weapons, and of other military goods used for the purpose of destabilizing accumulations;</li> <li>d) the international conventions, treaties and agreements, the non-proliferation mechanisms Romania is a party to, and other international undertakings assumed by Romania as a participating state in the international non-proliferation and export controls regimes;</li> <li>e) the principle of co-operation with the states promoting non-proliferation policies similar to Romania's policies in this field.</li> <li>f) the Arms Trade Treaty criteria</li> </ul> <p>The assessment process of an export licence application takes into account, <i>inter-alia</i>, the risk of the conventional arms being used to commit or facilitate serious acts of gender-based violence or serious acts of violence against women and children.</p>		
PoA II.11	3.4. What kind of documentation does your country require prior to authorizing an export of SALW to another country?		
PoA II.12	a) An end-user certificate (EUC) from the importing country. [if no, go to 3.4.b] <ul style="list-style-type: none"> <li>i) What elements does an end-user certificate in your country contain (check relevant boxes)? <ul style="list-style-type: none"> <li>1) Detailed description (type, quantity, characteristics) of the SALW or technology</li> <li>2) Contract number or order reference and date</li> <li>3) Final destination country</li> <li>4) Description of the end-use of the SALW</li> </ul> </li> </ul>	X	
		X	
		X	
		X	
		X	



	5) Exporter's details (name, address and business name)	X	
	6) End-user information (name, position, full address and original signature)	X	
	7) Information on other parties involved in the transaction	X	
	8) Certification by the relevant government authorities of the authenticity of the end-user	X	
	9) Date of issue and register number and the duration of the EUC	X	
FSC.DE C/5/04	10) Assurances of use only by end-user and for the stated end-use	X	
	11) Other [if other, please explain] b) Other types of end-user documentation: <a href="#">International Import Certificate (IIC)</a> , <a href="#">End-User Statement (EUS)</a>		
FSC.DE C/12/08	3.4.1. Has your country provided a sample end user certificate to the OSCE?  Romanian end-user certificate named <i>Non-transfer and End-User Certificate</i> is used in the licensing process and is promoted amongst the Romanian companies registered to carry out activities with military goods and equipment, including SALW and their ammunition. A sample of this document was provided to the OSCE in 2014 and no additional changes of the form occurred since then.	X	
	3.4.2. If not, have you attached a copy of the end-user certificate to this report?		
PoA II.12	3.5. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided? [if no, go to 3.6]	X	
	3.5.1. Details: [if yes] <a href="#">According to the Romanian legislation, the applicant must present as support documentation for an export licence application all relevant documents related to the transaction, including an End-User Certificate. Failure to submit the End-User Certificate will result in return without action of the licence application.</a>  <a href="#">The End-User Certificate must be original and authentic. In some cases, the End-User Certificate signatures could be subject of graphic tests by a Specialized Unit from the Romanian Ministry of Internal Affairs.</a>  <a href="#">Usually, MFA/ANCEX verifies through diplomatic channels the authenticity of the end-user documentation (End-User Certificates, International Import Certificates issued by the importer's authority) provided by the foreign importer to the Romanian exporter.</a>		
	3.6. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?	X	
	3.6.1. Details: [if yes] <a href="#">The International Import Certificates, the Delivery Verification Certificates and all licenses issued by MFA/ANCEX have security elements and holograms to ensure that this documentation cannot be falsified. It also encompasses other security features related to certain identification numbers.</a>		
<b>Post delivery controls</b>			
	3.7. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?	X	
	3.8. After exporting, does your country verify or seek to authenticate DVCs provided?	X	
	3.8.1. Details [if yes] <a href="#">Under certain circumstances, MFA/ANCEX verifies through diplomatic channels the DVCs. Moreover, MFA/ANCEX could conduct on-site verification at the end-user of the military items (including SALW and their ammunition). There are cases when the Romanian exporter is required by MFA/ANCEX to accompany the export up to the end-user, as a preventive measure against the diversion of the exported goods.</a>		
	3.9. When importing, does your country grant the right to exporting State to conduct physical check at point of delivery?	X	
<b>Marking at import</b>			
ITI 8b	3.10. Does your country require that SALW imported into your country be marked at the time of import? [if no, go to 3.11.]		
ITI 8b	3.10.1. Who is responsible for marking the SALW? 3.10.2. What information is included in the marking on import (check relevant boxes)?		
	a) Country of import		



	b) Year of import		
	c) Other [if other, please explain]		
ITI 8b	3.10.3. Are there exceptions to the requirement to mark imported SALW?		
	3.10.3.1. If so, describe:		
ITI 8b	3.10.4.1. If marked SALW imported into your country do not bear a <i>unique</i> marking when they arrive, does your country require that they be given such a marking?		
	3.10.4.1. Details: [if yes]		
<b>Record Keeping</b>			
PoA II.9; ITI 12	3.11. Does your country require that exporters and importers of SALW keep records of their activities? [if no, go to 3.12.]	X	
	3.11.1. What information must be recorded (check relevant boxes)?		
	a) Quantity of SALW traded	X	
	b) Type or model of SALW traded	X	
	c) Markings appearing on transferred SALW	X	
	d) Transactions	X	
	i) Identity of buyer/seller	X	
	ii) Country SALW are to be delivered to or purchased from	X	
	iii) Date of delivery	X	
	e) Other [if other, please explain] Export/import licence number	X	
ITI 12b	3.11.2. How long must records of transfers be kept?		
	a) Indefinitely		
	b) 30 years	X	
	c) Other [if other, please explain] 20 years for the exporters and importers	X	
<b>Diversion</b>			
RevCon3 outcome A.1(c)20	3.12. Does your country collect information on domestic incidents of diversion related to international transfers?	X	
	3.12.1. Number of incidents of diversion related to international transfers		
	3.12.1.1. Details: During the reporting period, no cases of SALW domestic incidents related to international transfers were identified		
<b>Actions taken during the reporting period</b>			
PoA II.6	3.13. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?		X
	3.13.1. Details:		
<b>International assistance</b>			
PoA III.6	3.14. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW? [if no, go to 4.1]		X
	3.14.1. What kind of assistance do you require?		
	3.14.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10.3b]		
	3.15 Should your country be willing and able to provide assistance in developing laws, regulations and/or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?		
	3.15.1 If yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding international transfers)?		
	3.15.2 Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b).		

**SECTION 4: BROKERING (in accordance with FSC Decision 17/10)**

Sources	Question	YES	NO
<b>Laws, regulations and administrative procedures</b>			
PoA II.14	4.1. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW? [if no, go to 4.2.]	X	
	4.1.1. List laws and/or administrative procedures regulating SALW brokering in your country.		
	<ul style="list-style-type: none"> <li>➤ Government Ordinance no. 158/1999 on the control regime of exports, imports and other transfers of military goods, republished</li> </ul>		
FSC.DE C/8/04	4.1.1.1. Does your country have a definition of brokering activities of persons and entities?	X	
	<p>4.1.1.2. If yes, provide the definition.</p> <ul style="list-style-type: none"> <li>➤ The main legislation defines brokering activities as activities carried out by a person regarding: <ul style="list-style-type: none"> <li>• negotiation or arranging transactions that may involve the export, import or transfer of military goods from a third country to any other third country;</li> <li>• buy, sale, export or transfer of military goods that are in their ownership from a third country to any other third country;</li> <li>• negotiation or arranging transactions that involve taking outside from or bringing into the Romanian territory the military goods;</li> </ul> </li> </ul> <p>Additionally, according to the internal procedures for Romanian exports and imports through foreign brokers, the national authority requests the authorization or registration of the brokering activities.</p> <ul style="list-style-type: none"> <li>➤ The main legislation defines persons that could carry out brokering activities as: <ul style="list-style-type: none"> <li>• any natural (Romanian or foreign citizen) or legal entity residing in Romania, including public authorities;</li> <li>• Romanian natural entities outside the Romanian territory, in accordance with the international law.</li> </ul> </li> </ul>		
	4.1.1.3. Does your country require brokers to register before they can apply for brokering licences?	X	
	4.1.1.4. Does your country make a background check on past involvement in illicit activities before registering a broker or issuing a brokering licence?	X	
	4.1.2. Does your country require registration of SALW brokers?	X	
FSC.DE C/8/04	4.1.2.1. Does your country require a licence for SALW brokering activities on the territory of your country regardless of the nationality of the broker? <i>Only for foreign citizens residing in Romania</i>	X	
	4.1.2.2. Does your country control brokering activities outside your territory carried out by brokers of your country's nationality?	X	
	4.1.2.3. Does your country control brokering activities outside your territory carried out by non-citizen residents who are established on your country's territory?	X	
FSC.DE C/8/04	4.1.2.4. Does your country have a requirement for end-use documentation before authorizing each brokering activity?	X	
	4.1.2.5. If so, describe The original of the End-User Certificate should be presented to MFA/ANCEX for prior verification. This verification is a relevant part of the brokering licencing process. After verification, the End-User Certificate is returned so that the Romanian broker can give it to the exporter in order to obtain the export licence.		
	4.1.3. Does your country require a licence, permit or other authorization for each brokering transaction?	X	
FSC.DE C/8/04	4.1.3.1. Does your country have measures to validate the authenticity of documentation submitted by the broker?	X	
	4.1.3.2. Describe those measures. The authenticity of the EUC is verified through diplomatic channels		
FSC.DE C/8/04	4.1.3.3. Does your country keep records of all issued licences or written authorizations?	X	
	4.1.3.4. If yes, how long are the records kept for?		
	a) Indefinitely		
	b) 10 years		
	c) Other – 20 years	X	

	4.2. Does your country regulate activities that are closely associated with the brokering of SALW?	X	
	4.2.1. If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?		
	a) acting as dealers or agents in SALW	X	
	b) Providing technical assistance	X	
	c) Training	X	
	d) Transport		
	e) Freight forwarding		
	f) Storage		
	g) Finance		
	h) Insurance		
	i) Maintenance		
	j) Security		
	k) Other services		
	[if other, please explain]		
<b>Actions taken during the reporting period</b>			
RevCon3 outcome	4.3. During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)? <b>During the reporting period there were no cases of illegal brokering.</b>		X
II. A.1(c)20	4.3.1. Details:[if yes]		
<b>International Assistance</b>			
PoA III.6	4.4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW brokering? [if no, go to 5.1]		X
	4.4.1. What kind of assistance do you require?		
	4.4.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10.3b]		
	4.5. Does your country require training on controlling brokering activities in SALW?		
	4.6. Should your country be willing and able to provide assistance in SALW brokering?		
	4.6.1 If yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding SALW brokering)?		
	4.6.2 Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b).		

## SECTION 5: STOCKPILE MANAGEMENT

Sources	Question	YES	NO
<b>Laws, regulations and administrative procedures</b>			
PoA II.17	5.1. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other body authorized to hold SALW? [if no, go to 5.2.]	X	
PoA II.17	5.1.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?		
	a) Appropriate locations for stockpiles	X	
	b) Physical security measures	X	
	c) Control of access to stocks	X	
	d) Inventory management and accounting control	X	
	e) Staff training	X	
	f) Security, accounting and control of SALW held or transported by operational units or authorized personnel	X	
	g) Procedures and sanctions in the event of theft or loss	X	
	h) Measures needed to provide adequate protection in emergency situations	X	
	i) Other [if other, please explain]		
<b>Characteristics of stockpile management and security of military stocks</b>			
	<p><u>5.1.1 Stockpile location:</u></p> <p><u>5.1.1.1 How is a formal assessment of surroundings made when choosing a location for stockpiles?</u> Elements considered when deciding on national stockpiles locations:</p> <ul style="list-style-type: none"> <li>• the needs of an opportune supply for troops and the needs of providing a good own security as well as of economical and social objectives in the neighbourhood;</li> <li>• to provide conditions for permanently keeping a good maintenance of the equipment;</li> <li>• to prevent fire and to provide fire fighting and, if necessary, the possibility of quickly distribution;</li> <li>• to provide a surface according to the destination and to offer possibilities of enlarging it;</li> <li>• to provide conditions for organizing the guard and security system;</li> <li>• to provide conditions for simultaneously loading/unloading of 4-6 railroad carriages;</li> <li>• to allow construction of roads with two ways of traffic;</li> <li>• not to be a floodable area, having an average relative humidity of 30-80%;</li> <li>• to offer possibilities for aerial and ground concealment;</li> <li>• to offer possibilities for water supplying in case of fire and for domestic needs;</li> <li>• to allow connection to the main power supply;</li> <li>• to have draining slopes;</li> <li>• to be located near a town, but not a main economical center or railroad junction.</li> </ul>		
OSCE SALW Doc, Section IV (B)	5.1.2. Physical security measures:		
	5.1.2.1 Is security assessment conducted for each stockpile?		
	5.1.2.2. Is SALW and ammunition always stored separately in your country?		
	5.1.2.2.1 If no, in what cases is SALW and ammunition can be stored together?		
OSCE SALW Doc, Section IV (B)	<p><u>5.1.3 Access control measures:</u></p> <p>5.1.3.1 Describe your country's policies regarding access controls at storage sites.</p>		

	5.1.3.2 Does your country require full records of access to be maintained?		
OSCE SALW Doc, Section IV (B)	<u>5.1.4 Inventory management:</u>		
	5.1.4.1. Is there a system in place in your country to manage inventory of SALW?		
	If yes,		
	a) Is the system computerized?		
	b) How long are the records of access to be maintained?		
	i) Indefinitely		
	ii) Other		
	<u>5.1.5 Security Plan:</u>		
	5.1.5.1 Does each SALW storage site have a security plan?		
OSCE SALW Doc, Section IV (B)	<u>15.1.6 Emergency situations and training:</u>		
	5.1.6.1. Has your country developed measures to provide protection in emergency situations?		
	5.1.6.2. Are there regular sessions provided to personnel at storage sites on regulations behaviour and procedures related to security?		
<b>Surplus</b>			
	5.1.7 Do you include inoperable/unrepairable weapons in ‘surplus’ for the purposes of this questionnaire?		
	5.1.8. Do you include obsolete weapons (weapons that no longer meet operational standards) in “surplus” for the purposes of this questionnaire? 5.1.8.1 If no, describe your policy with regard to obsolete weapons, if any.		
	5.1.9. Are inoperable/unrepairable weapons categorized as “surplus weapons” in your country?		
PoA II.18	5.2. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)? a) Officially declare as surplus b) Take out of service c) Record by type, lot, batch, and serial number d) Store separately e) Other [if other, please explain]		
PoA II.18	5.3 In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)? a) Destruction b) Sale to another State c) Donation to another State d) Transfer to another state agency e) Sale to civilians f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.) g) Other [if other, please explain]		
<b>Diversion</b>			
RevCon3 Outcome II. A.1(c)20	5.4 Does your country collect information on incidents of diversion related to national stockpile management?		
	5.4.1. Number of incidents of diversion related to stockpile management: 5.4.1.1 Details:		

<b>Actions taken during the reporting period</b>			
PoA II.19	5.5. During the biennial reporting period, has your country destroyed surplus stocks?		
PoA II.20	5.5.1. How many SALW were destroyed? Include details on destruction. i) First reporting year ii) Second reporting year		
	5.5.2. Any good practice regarding destruction (e.g. details on method of destruction [burning, melting, cutting, crushing, others: specify])?		
<b>International Assistance</b>			
PoA II.29; III.6	5.6. Does your country wish to request assistance in developing standards and procedures on stockpile management? [if no, go to 5.7]		
	5.6.1. What kind of assistance do you require?		
	5.6.2. Has your country developed a project proposal for assistance in this regard?		
PoA III.6; 14	5.6.3. Does your country wish to request assistance in developing capacity for destruction of weapons? [if no, go to 6.1]		
	5.6.4. What kind of assistance do you require?		
	5.6.5. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10.3b]		
	5.6.6. Does your country wish to request assistance in building capacity for storage of weapons?		
	5.6.6.1. What kind of assistance do you require?		
	5.6.6.2. Has your country developed a project proposal for assistance in this regard?		
	5.6.7. Does your country wish to receive training in stockpile management and security and/or destruction of weapons?		
	5.7. Should your country be willing and able to provide assistance in the area of stockpile management?		
	5.7.1 if yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding stockpile management)?		
	5.7.2 Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b).		



**SECTION 6: COLLECTION**

Sources	Question	YES	NO																																																																																																																																				
	<b>Collection</b>																																																																																																																																						
	6.1. During the reporting period, did your country collect any SALW? [if no, go to 6.2.]	X																																																																																																																																					
RevCon3 Outcome II.B.1	<p>6.1.1. How many SALW were collected? [click No if data is not available: go to 6.2]                      i) First reporting year: <b>During calendar year 2019 Romanian Police confiscated 4666 firearms.</b>                      ii) Second reporting year: <b>During calendar year 2020 Romanian Police confiscated 3369 firearms.</b></p> <p>6.1.1.1. What action was taken with respect to the SALW collected?                      Provide numbers of weapons collected. [if data is not available: go to 6.2.]</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2"></th> <th colspan="2" style="text-align: center;">SALW collected</th> </tr> <tr> <th style="text-align: center;">Year</th> <th style="text-align: center;">i) 1st reporting year</th> <th style="text-align: center;">ii) 2nd reporting year</th> </tr> </thead> <tbody> <tr> <td>6.1.1.1 Collected</td> <td></td> <td></td> <td></td> </tr> <tr> <td>6.1..1.1 Action taken</td> <td></td> <td></td> <td></td> </tr> <tr> <td>    a) Marked</td> <td></td> <td></td> <td></td> </tr> <tr> <td>    b) Recorded</td> <td></td> <td></td> <td></td> </tr> <tr> <td>    c) Destroyed</td> <td></td> <td></td> <td></td> </tr> <tr> <td>    d) Trace request issued</td> <td></td> <td></td> <td></td> </tr> <tr> <td>    e) Other action: (specify)</td> <td></td> <td></td> <td></td> </tr> <tr> <td>    f) No action taken (only stored)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>6.1.1.2 If further breakdown of collected SALW is available, specify and provide numbers: [if data is not available: go to 6.2]</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">Year</th> <th style="text-align: center;">i) 1st reporting year</th> <th style="text-align: center;">ii) 2nd reporting year</th> </tr> </thead> <tbody> <tr> <td>a) How many SALW were seized?</td> <td></td> <td></td> <td></td> </tr> <tr> <td>b) How many SALW were surrendered?</td> <td></td> <td></td> <td></td> </tr> <tr> <td>c) How many SALW were found?</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>6.1.1.3 What action was taken with respect to the SALW seized, surrendered or found? Specify and provide numbers. [if data is not available: go to 6.2]</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2"></th> <th colspan="2" style="text-align: center;">SALW seized</th> <th colspan="2" style="text-align: center;">SALW surrendered</th> <th colspan="2" style="text-align: center;">SALW found</th> </tr> <tr> <th style="text-align: center;">Reporting Year</th> <th style="text-align: center;">1st</th> <th style="text-align: center;">2nd</th> <th style="text-align: center;">1st</th> <th style="text-align: center;">2nd</th> <th style="text-align: center;">1st</th> <th style="text-align: center;">2<sup>nd</sup></th> </tr> </thead> <tbody> <tr> <td>6.1.1.2. seized/surrendered/ found</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>6.1.1.3. Action taken</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>    a) Marked</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>    b) Recorded</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>    c) Destroyed</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>    d) Trace request issued</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>    e) Other action: (specify)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>    f) No action taken (only stored)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>6.1.1.4. Details (e.g. types of weapons)[possible to upload relevant files in Section 10.3b]</p>		SALW collected		Year	i) 1st reporting year	ii) 2nd reporting year	6.1.1.1 Collected				6.1..1.1 Action taken				a) Marked				b) Recorded				c) Destroyed				d) Trace request issued				e) Other action: (specify)				f) No action taken (only stored)					Year	i) 1st reporting year	ii) 2nd reporting year	a) How many SALW were seized?				b) How many SALW were surrendered?				c) How many SALW were found?					SALW seized		SALW surrendered		SALW found		Reporting Year	1st	2nd	1st	2nd	1st	2 <sup>nd</sup>	6.1.1.2. seized/surrendered/ found								6.1.1.3. Action taken								a) Marked								b) Recorded								c) Destroyed								d) Trace request issued								e) Other action: (specify)								f) No action taken (only stored)									
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PoA III.6	6.2. Does your country wish to request assistance in building capacity for collection of the illicit SALW? [if no, go to 7.1]																																																																																																																																						
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	6.2.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10.3b]		
	6.3 Should your country be willing and able to provide assistance in building capacity for collection of the illicit SALW?		
	6.3.1 if yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding collection)?		
	6.3.2 Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b).		

**SECTION 7: MARKING AND RECORD-KEEPING**

Sources	Question	YES	NO
<b>Marking</b>			
	7.0 Has your country developed a national system for marking government-owned SALW?		
ITI 8d	7.1 Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked? [if no, go to 7.2.]		
	7.1.1. Describe the markings that are applied to government-held stocks.		
OSCE SALW Doc II (B)	7.1.1.1. Describe common marking techniques applied to SALW in your country.		
	7.1.1.2. What information is included in the marking (check relevant boxes)?		
	a) Name of the manufacturer		
	b) Country of manufacture		
	c) Serial number		
	d) Year of manufacture		
	e) Weapon type/model		
	f) Calibre		
	g) Proofing (testing)		
	h) Other [If other, please explain]		
ITI 8c	7.1.2 When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your country transferred the stocks?		
ITI 8e	7.2. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?		
	7.2.1. Details: [if yes]		
RevCon3 II.A.4	7.3. In its marking practice, does your country take into account developments in SALW manufacturing, technology and design (e.g. modular weapons, the use of new materials and 3D printing)?		
	7.3.1. Details: [if yes]		
	7.3.2. Does your country have a policy on marking unmarked weapons?		
OSCE SALW Doc, Section II (B), 1	7.3.2.1 If yes, what is your country's policy on marking unmarked weapons?		
	A) <u>Seized unmarked weapons:</u>		
	i) Such weapons are destroyed		
	ii) Such weapons are marked by [enter name of responsible agency]		
	iii) No formal policy		
	iv) Additional information		
	B) <u>Unmarked SALW found in stocks of armed forces, policy or other state security forces:</u>		
	i) Such weapons are destroyed		
	ii) Such weapons are marked by [enter name of responsible agency]		
	iii) No formal policy		
iv) Additional information			
<b>Information on national marking practice</b>			
ITI 31	7.4. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the following information, updating it when necessary:		
	a) National marking practices related to markings used to indicate country of manufacture and/or country of import as applicable.		
RevCon3 outcome III.E.20	Such information should be shared with INTERPOL to be included in relevant databases ( <a href="http://www.interpol.int/INTERPOL-expertise/Databases">www.interpol.int/INTERPOL-expertise/Databases</a> ).		

<b>Record-keeping</b>			
PoA II.9	7.5. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory? [if no, go to 7.7.]	X	
	7.5.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc.)? MFA/ANCEX created in 2006 the “Register of SALW Transfers” for exports and imports, tool that includes data regarding the type, model, calibre, serial number and other relevant information related to the transfers facilitating the international tracing cooperation. The Register also encompasses information about ammunition transfers.		
ITI 12a, b	7.5.2. How long does the government keep such records? [please detail] MFA/ANCEX keeps the records of transfers with SALW and ammunition included in “Register of SALW Transfers” for 30 years.		
ITI 13	7.5.3. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc.) required to submit all records held by them to the government?	X	
	7.5.4. Does your country maintain a central register of state-owned SALW?		
<b>International Assistance</b>			
PoA III.6; ITI 27	7.6 Does your country wish to request assistance in building capacity for marking and/or record-keeping? [if no, go to 8.1]		X
	7.6.1. What kind of assistance do you require? 7.6.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10.3b]		
	7.7 Should your country be willing and able to provide assistance in building capacity for marking and/or record-keeping?		
	7.7.1 if yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding marking and record-keeping)?		
	7.7.2 Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b).		

## SECTION 8: INTERNATIONAL TRACING

<i>Sources</i>	<i>Question</i>	<i>YES</i>	<i>NO</i>
<b>Laws, regulations and administrative procedures</b>			
PoA II.10; ITI 14, 24	8.1. Does your country have procedures in place to trace SALW? [if no, go to 8.2.]	X	
<b>Tracing requests</b>			
ITI 25; 31a	8.2. Which government agency is responsible for making a tracing request to another country? <a href="#">The MFA/ANCEX is the national point of contact to exchange information and act as a liaison on all matters relating to the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons (ITI) adopted at New York on 5 December 2005, in the framework of the UN Program of Action to prevent, combat and eradicate the illicit trade with small arms and light weapons, in all its aspects, adopted on 20 July 2001.</a>		
ITI 17	8.3. What information does the designated agency include in a tracing request? (check relevant boxes)		
	a) Circumstances under which the SALW was found	X	
	b) Reasons why the SALW is considered to be illegal or illicit	X	
	c) The intended use of the information being sought	X	
	d) Any markings on the SALW	X	
	e) Type/calibre of SALW	X	
	f) Other [if other, please explain]		
<b>Technologies for tracing</b>			
RevCon3 outcome III.F.25	8.4 Has your country made use of technologies to improve tracing of illicit SALW?		
<b>Cooperation with INTERPOL</b>			
PoA II.37; ITI 33	8.5. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)?	X	
<b>International assistance</b>			
PoA II.36; III.6; ITI 27	8.6. Does your country wish to request assistance in developing procedures to trace SALW? [if no, go to 9.1]		X
	8.6.1. What kind of assistance do you require?		
	8.6.2. Has your country developed a project proposal for assistance in this regard? [possible to upload relevant files in Section 10.3b]		
	8.7 Should your country be willing and able to provide assistance in tracing?		
	8.7.1 if yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding tracing)?		
	8.7.2 Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b) or attach.		

**SECTION 9: INTERNATIONAL COOPERATION & ASSISTANCE**

<i>Sources</i>	<i>Question</i>	<i>RE QU EST ED</i>	<i>REC EIV ED</i>	<i>PR OVI DED</i>
<b>Assistance requested / received / provided</b>				
PoA III.3, 6	9.1. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI? [if no, go to 10.1]	NO	NO	NO
	9.1.1. If so, in what areas (check relevant boxes)?			
	<b>a. Establishing/designating National Coordination Agency/National Point of Contact and National Action Plan</b>			
	<p>a) Nature of the assistance:</p> <p style="padding-left: 40px;">i) financial: Requested/Received/Provided (select appropriate)</p> <p style="padding-left: 40px;">ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p> <p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
PoA III.16	<p style="text-align: center;"><b>b. Disarmament, demobilization and reintegration (DDR)</b></p> <p>a) Nature of the assistance:</p> <p style="padding-left: 40px;">i) financial: Requested/Received/Provided (select appropriate)</p> <p style="padding-left: 40px;">ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p> <p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
PoA III.6	<p style="text-align: center;"><b>c. Capacity-building and training</b></p> <p>a) Nature of the assistance:</p> <p style="padding-left: 40px;">i) financial: Requested/Received/Provided (select appropriate)</p> <p style="padding-left: 40px;">ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p>			



	<p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
PoA III.7	<p><b>d. Law enforcement</b></p> <p>a) Nature of the assistance:</p> <p>    i) financial: Requested/Received/Provided (select appropriate)</p> <p>    ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p> <p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
PoA III.7	<p><b>e. Customs and borders</b></p> <p>a) Nature of the assistance:</p> <p>    i) financial: Requested/Received/Provided (select appropriate)</p> <p>    ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p> <p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
PoA III.18	<p><b>f. Research</b></p> <p>a) Nature of the assistance:</p> <p>    i) financial: Requested/Received/Provided (select appropriate)</p> <p>    ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p>			

	<p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
	<p><b>g. Gender considerations / women, men, girls and boys</b></p> <p>a) Nature of the assistance:</p> <p>    i) financial: Requested/Received/Provided (select appropriate)</p> <p>    ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p> <p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
	<p><b>h. Awareness-raising</b></p> <p>a) Nature of the assistance:</p> <p>    i) financial: Requested/Received/Provided (select appropriate)</p> <p>    ii) technical: Requested/Received/Provided (select appropriate)</p> <p>b) Amount of assistance provided/received (if financial):</p> <p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
PoA III.15	<p><b>i. Organized crime, drug trafficking and terrorism</b></p> <p>a) Nature of the assistance:</p> <p>    i) financial: Requested/Received/Provided (select appropriate)</p> <p>    ii) technical: Requested/Received/Provided (select appropriate)</p>			

	<p>b) Amount of assistance provided/received (if financial):</p> <p>c) Description of the assistance activity:</p> <p>d) Duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			
	<p><b>j. Other</b></p> <p>a) The nature of the assistance:</p> <p>i) financial</p> <p>ii) technical</p> <p>b) The amount of assistance provided/received (if financial):</p> <p>c) A description of the assistance activity:</p> <p>d) The duration of the assistance provided/received:</p> <p>e) State(s) or organization(s) that provided/received the assistance:</p>			

## SECTION 10: GENDER AND ADDITIONAL INFORMATION

<i>Sources</i>	<i>Question</i>	<i>YES</i>	<i>NO</i>
<b>Gender Considerations</b>			
RevCon3 outcome	10.1 Does your country take into account gender considerations, (including their participation in national small arms commissions)? [If yes, click where applicable]	X	
II.B.2.73	10.1.1 Increase understanding of the gender-specific impacts of the illicit trade in small arms and light weapons (training, workshops, gender analysis)	X	
II.B.2.74	10.1.2 Promote the meaningful participation and representation of women in policymaking, planning and implementation processes related to the implementation of the PoA, including their participation in national small arms commissions	X	
BMS6 I.61	10.1.3 Seriously consider increasing funding for policies and programmes that take account of the differing impacts of illicit small arms and light weapons on women, men, girls and boys		
RevCon3 II.B.2.76	10.1.4 Mainstream gender dimensions into your implementation efforts	X	
RevCon3 II.A.5.65	10.1.5 Exchange national experiences, lessons learned and best practices on the mainstreaming gender dimensions into policies and programmes	X	
RevCon3 II.B.2.75	10.1.6 Ensure coordination on the implementation of the PoA between relevant national small arms authorities with other national authorities working on gender equality		
RevCon3 II.B.2.75	10.1.7 Ensure coordination on the implementation of the PoA between relevant national authorities and women's civil society groups		
	10.1.8 Others. Specify:		
RevCon3 outcome II.B.2.79	10.2 Does your country collect disaggregated data on gender and SALW?		
	10.2.1 Details:		
<b>Additional Information – Key challenges and opportunities</b>			
	10.3 Any further comments on PoA and ITI, including key challenges and opportunities relating to the implementation of PoA and ITI, and national laws, regulations and administrative procedures?  a) Details:  b) Please upload/attach additional files (e.g. views on the implementation of the PoA and ITI, a national action plan, project proposals, a list of projects implemented and financial contributions provided)		
	10.4 Any further comments on the OSCE Document on SALW and supplementary decisions, including implementation challenges and opportunities?  a) Details:  b) Please upload/attach additional files (e.g. views on the implementation of the OSCE Document on SALW, list of projects and financial contributions provided)		

## SECTION 11: DEACTIVATION

<i>Sources</i>	<i>Question</i>	<i>YES</i>	<i>NO</i>	<i>DEV ELO PIN G</i>
<b>Deactivation</b>				
RevCon3 II.A.3 (b,c)	11.1 Does your country make legal provision for the permanent deactivation of SALW? [If no, go to 11.3]			
FSC.DEC/ 4/20	If yes, at what level within your country's jurisdiction is such law enacted?			
	11.2 Does the law in your country allow civilian possession of permanently deactivated SALW?			
	a) Subject to a weapon permit or other similar prior authority			
	b) Subject to notifying the authorities (no prior permit required)			
	c) No permits or notifications are required (an age limit may apply)			
	11.3 Has your country adopted a single definition, with a single set of standards, pertaining to permanently deactivated small arms and/or light weapons?			
	If yes, please provide the title and a web link (if available) to the definition/standards:			
	11.4 Does your country permit the deactivation of incomplete small arms and/or light weapons, and of separate component parts?			
	a) Incomplete small arms and/or light weapons may be subjected to the proper processes.			
	b) An item submitted for deactivation must be complete			
	11.5 Is your country applying OSCE minimum standards on SALW deactivation?			
	11.6 Is your country applying higher standards than the OSCE on SALW deactivation?			
	If yes, please name:			
	11.7 Does your country prescribe who may be authorised to undertake the deactivation processes?			
	a) Appropriately authorised legal entities/natural persons only, for example dealers			
	b) Other entities, please indicate:			
	11.8 Does your country prescribe who is empowered to inspect an item to ensure the deactivation work has been carried out to the required standard?			
	a) A designated national entity/entities (state body) or similar (may include a CIP Proof Authority)			
	b) A separate authorised legal entity/entities from those performing the work (for example an authorised dealer)			
	c) The same authorised legal entity as carried out the work (i.e. self-authorisation)			
	d) Other [please, indicate]			
	11.9 Does your country require the deactivated SA and/or LW to be permanently marked in some way?			
	a) Stamping			
	b) other [please add method]			

	11.10 Does the inspecting authority issue a certificate of compliance with the legal standards for deactivation?			
	11.11 Does your country accept deactivations performed outside of your jurisdiction (for import and possession)? a) The standards must match or exceed our own, the item must be marked and/or accompanied by a certificate b) The standards must match or exceed our own c) Subject to our own inspection (and perhaps marking & certification) d) Such an item can only be imported as a though it was a “live” SA and/or LW and the deactivation processes must be re-applied in our country.			
	11.12 Does your country have a registry (or registries, perhaps at different jurisdiction levels) that holds details of the deactivated SA and/or LW in lawful possession? If yes, a) a single registry (may also hold other data) b) multiple local registries (may also hold other data)			
	11.13 Does your country produce a regular intelligence and/or threat assessment that includes the criminal misuse of deactivated SA and/or LW?			
	12.14 If yes to 11.13, does the assessment in respect of deactivated SA and/or LW include specific risks associated with (please select all that apply)? a) Reactivation of deactivated SA and/or LW, and their component parts b) Criminal threat through the presence of a deactivated SA and/or LW c) Other [please indicate]			
	11.15 Can you provide the total number of deactivated SA and/or LW in lawful possession? Kindly provide:			
	11.16 Can you provide the number of deactivated SA and/or LW that were suspected of being involved in criminal misuse in your last annual reporting period? If yes, a) Recovered and inferred items b) Recovered items only c) The information is operationally sensitive			
	11.17 Does your country publish statistical reports that refer to deactivated SA and/or LW?  If yes, please add the title and web link (if available), together with the name of the competent authority:			
	11.18 Does your country experience any particular information gaps or difficulties in respect of deactivated SA and/or LW?  If yes, please detail:			
	11.19 Does your country wish to request assistance in developing laws, regulations and/or administrative procedures to regulate SALW deactivation?			
	a) What kind of assistance do you require?			
	b) Has your country developed a project proposal for assistance in this regard?			



	<p>11.20 Should your country be willing and able to provide assistance in SALW deactivation?</p> <p>a) If yes, please indicate the assistance available (i.e. developing laws, regulations and/or administrative procedures regarding SALW deactivation)?</p> <p>b) Is your country already providing assistance? Please name the project(s) or upload the list/overview in 10.4(b) or attach.</p>			

**SECTION 12: NATIONAL PRACTICES RELATED TO PREVENTING THE SPREAD OF SALW THROUGH ILLICIT AIR TRANSPORT**

(These questions do not apply to governmental, military, or government-chartered flights.)

<p><b>Tick the box</b> if the information exchanged related to preventing the spread of SALW through illicit air transport can be made <b>publicly available</b> through posting on the OSCE’s public website <a href="#">[FSC.DEC/4/16/Corr.1]</a></p>	<input type="checkbox"/>
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<i>Sources</i>	<i>Question</i>
<b>Preventing the spread of SALW through illicit air transport</b>	
FSC.DEC/11/08, Annex 2	12.1. In which cases do air carriers need a prior license issued by your administration, either for their entire activities or on a case-by-case basis, for transporting SALW and their ammunition? If necessary, make a distinction between national companies and foreign companies operating on your national territory.
	12.2. What duties (e.g., license, registering, training etc.) apply to brokers for air transport of SALW and their ammunition?
	12.3. On what legal basis may customs and law enforcement officers inspect aircraft and their cargo on your national territory? (What criteria must be fulfilled for such inspections to be legally possible?)
	12.4. What are the procedures and possible sanctions in the case of such an inspection revealing an offence or a violation of the law?
	12.5. Is it legally possible to inspect goods in transit and/or transshipment?
	12.6. Within the framework of combating the illicit traffic of SALW, would you say that the measure of co-ordination and information exchange between the authorities in charge of authorizing, monitoring and/or inspecting weapons passing through your territory (defence, civil aviation, customs, law enforcement etc.) is already sufficient, or needs to be increased? Are the related procedures hard to implement? What improvements would you suggest?
	12.7. Additional information to share with other OSCE participating States, if appropriate. Kindly upload in 10.4(b) or attach.